



JRW

Patent Application  
Attorney Docket No.: 57941.000062  
Client Reference No.: RA001.2003.1.C.US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :  
Michael FARMWALD et al. : Group Art Unit: 2818  
Appln. No.: 10/716,595 :  
Filed: November 20, 2003 : Examiner: Tan Nguyen  
For: MEMORY DEVICE AND METHOD :  
FOR OPERATING SAME :  
:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty under 37 C.F.R. § 1.56 of each individual associated with the filing and prosecution of the above-identified patent application (hereinafter, "associated individuals") to disclose all information known to that individual to be material to patentability, Applicant(s) hereby submits attached Form PTO-1449 (modified) listing cited references. This submission is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609.

The cited references, while believed to be of some relevance, are not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. Applicant(s) hereby expressly

reserves the right to swear behind the effective dates of any of the cited references. Applicant(s) further reserves the right to question the relevance, materiality, and/or prior art status of any of the cited references in whole, in part, or in combination, subsequent to the filing of this information disclosure statement. This information disclosure statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this information disclosure statement discloses only the best references of which the associated individuals are aware.

The Examiner is respectfully requested to consider each of the cited references, to indicate such consideration by initialing in the space provided next to each cited reference on the enclosed Form PTO-1449 (modified), to sign the initialed Form PTO-1449 (modified), and to return a copy of the same with the next communication to the Applicant(s).

In accordance with 37 C.F.R. § 1.98(a), only copies of the cited references which are not U.S. patents or U.S. patent application publications are being submitted herewith. However, copies of the cited references which are U.S. patents or U.S. patent application publications will be submitted at the request of the Examiner. In considering these cited references, it may be noted by the Examiner that certain of the references may

contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of these cited references. Any such markings were either present on the copies of these cited references when obtained by the associated individuals, or were made thereon during the study of these cited references by the associated individuals.

In accordance with 37 C.F.R. § 1.97(b), this information disclosure statement is being filed (i) within three months of the filing date of the above-identified patent application; (ii) within three months of the date upon which the above-identified patent application entered the national stage as set forth in 37 C.F.R. § 1.491; (iii) before the mailing date of a first Office Action on the merits for the above-identified patent application; or (iv) before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Accordingly, no statement or fee is required.

Please charge any shortage in fees due in connection with the filing of this communication to Deposit Account No. 50-0206, and please credit any excess fees to such deposit account.

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Respectfully submitted,

Hunton & Williams LLP

By:

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Date: February 2, 2005

**Substitute for form 1449A/PTO**

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 2

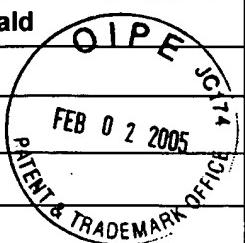
**Application Number** 10/716,595

**Filing Date** November 20, 2003

**First Named Inventor** Michael Farmwald

**Art Unit**

**Examiner Name** Tan Nguyen



## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

*Examiner Initial	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
		JP	62-135949	06-18-1987	Robaatsu		<input checked="" type="checkbox"/>	<input type="checkbox"/>
		JP	61-245255	10-31-1986	Terumi et al.		<input checked="" type="checkbox"/>	<input type="checkbox"/>
		JP	1-163849	06-28-1989	Takaaki		<input checked="" type="checkbox"/>	<input type="checkbox"/>
		JP	61-160129	07-19-1986	Akira		<input checked="" type="checkbox"/>	<input type="checkbox"/>
		JP	61-160130	07-19-1986	Akira		<input checked="" type="checkbox"/>	<input type="checkbox"/>
		JP	6-103153	04-15-1994	Chiesurei		<input checked="" type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

**EXAMINER SIGNATURE**

**DATE CONSIDERED**

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Substitute for form 1449A/PTO**

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*(use as many sheets as necessary)*

Application Number	10/716,595
Filing Date	November 20, 2003
First Named Inventor	Michael Farmwald
Art Unit	2818
Examiner Name	Tan Nguyen
Attorney Docket Number	57941.000062

## **OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS**

**EXAMINER SIGNATURE**

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